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MOURANT
CONSULTING

Global Specialist Consulting



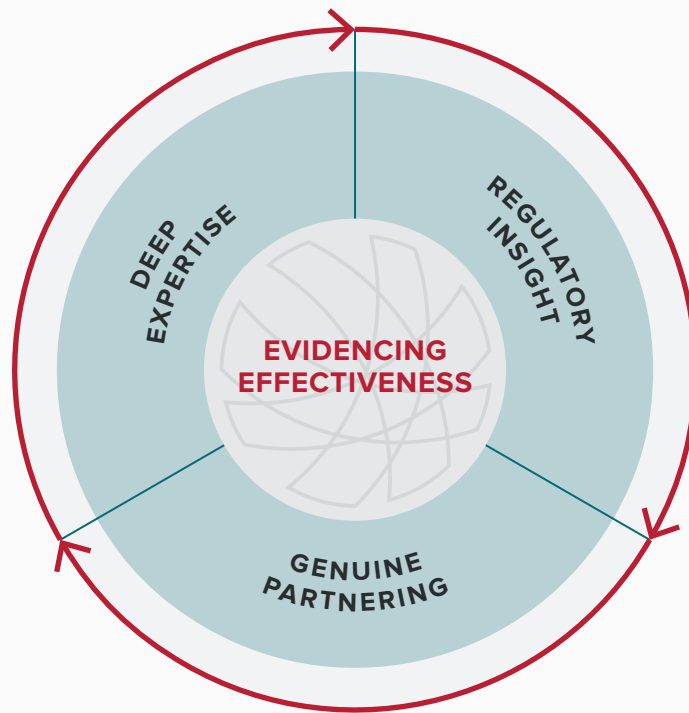
We are your trusted adviser. Clients appoint us to collaborate with them because we take a proactive, 'prevent and detect' approach to governance, risk and compliance matters that aims to inspire the confidence of the regulator.

We offer unrivalled regulatory insight, combined with genuine consulting expertise and a strategic perspective, to enable your senior team to navigate the complex and changing regulatory expectations and to prevent and detect any regulatory issues before they escalate.

We are proud to be an affiliate of Mourant, one of the world's leading providers of offshore legal and governance services.

A stylized, handwritten signature in black ink that reads "Mike Jones". The signature is fluid and cursive, with a long horizontal line extending from the end.

Mike Jones
Managing Director
Mourant Consulting



Why Us?

Our proactive team will help your business to evidence effectiveness to the regulator.

Bringing deep expertise to the table

Our team combines substantial regulatory knowledge with expertise in helping clients build long-term strategies to enhance their governance, risk and compliance culture, and to navigate the regulatory agenda.

Regulatory insight creating competitive advantage

In appointing us, you and your teams will gain ready access to the most recent, substantial regulatory knowledge and expertise in the market - enhancing your governance and your risk & compliance function and giving you a source of competitive advantage.

Genuine partnering

By engaging with us, you gain all the advantages of a holistic, long-term approach that's delivered with commercial judgment at its core and in genuine partnership with you and your team.

“Our proactive team will help your business to evidence effectiveness to the regulator.”

Our Services

1. GOVERNANCE



Strategy & Advisory

We regularly work with clients as a trusted adviser on strategic and tactical initiatives, taking into consideration commercial and regulatory requirements.



Principal & Key Person Support

We advise CEO's, Board Members, Directors, Non-Executives, Compliance Officers and others on regulatory matters, obligations and the latest relevant sector developments.



Corporate Governance

This is an ongoing regulatory requirement that is subject to increased regulatory focus. We offer independent assurance of Board effectiveness, mapping against best practice, and dedicated support to enhance if required.



Training & Development

We offer a range of bespoke training options aligned to the needs and requirements of C-Suite, legal, risk and compliance teams.

2. RISK & COMPLIANCE



Risk Assessments & Framework

This is an ongoing regulatory requirement that is subject to increased regulatory focus. We offer independent assurance of your framework and key risk assessments such as the Business Risk Assessment (including for Financial Crime and Compliance), mapping against best practice, and tailored support to enhance if required.



Compliance Framework & Monitoring

We offer independent assurance of your compliance framework, including key documentation such as the Compliance Monitoring Plan, mapping against the Business Risk Assessment and regulatory requirements, and tailored support to enhance if required.



Examination Support

We provide support to clients ahead of, during, and following examinations by the regulator.



Application Support

We provide support to clients applying for or seeking to expand their regulatory approvals. We offer a unique insight into the regulators' expectations, and how to manage the process successfully.

3. REGULATORS & GOVERNMENTS



We also provide advisory services to Regulators and Governments covering strategy, policy matters and reporting professional engagements.



Our Experience

Fund Manager

Advising a fund manager on extending its regulatory licence with documentation that provides reassurance and comfort to the regulator.

Trust Company

Advising a trust company ahead of a supervisory examination to highlight any likely regulatory red flags and enhance key documentation and processes where necessary.

Bank

Assisting a bank following a regulatory examination where there was a serious finding. We helped the client understand the likely outcomes from the finding, and how to address the issues before it escalates too far.

Investment Business

Advising an investment business on the regulatory expectations following the publication of Jersey's NRA and supporting them in a review of their overall risk framework and key documentation.

Bank

Supporting a bank with a remediation project, providing a regulatory perspective on the proposed response and tactical advice when dealing with the regulator.

Regulator

Supporting a financial services regulator in its analysis and development of regulatory policy and how it meets international requirements.

Legal Advice & Consulting Services

Mourant Consulting is an affiliate of Mourant Ozannes, a leading international law firm. We are able to work closely with our law firm colleagues, drawing on their expertise to provide our clients with seamless legal and consulting services. Together, we bridge the gap between legal advice and its implementation, taking an integrated approach to deliver the best results for our clients.

ABOUT MOURANT OZANNES

Mourant Ozannes is an award-winning international law firm, with expertise in the laws of Jersey, Guernsey, BVI and the Cayman Islands, and over 60 years' experience in the financial services sector. The firm's global Regulatory practice supports clients across the financial services spectrum including banks, insurers and reinsurers, investment firms, asset managers, funds, family offices, trust companies and fund administrators. The multi-disciplinary team covers all aspects of financial services regulation from advisory and restructuring work, to investigations and enforcement proceedings.

500+
people

60
partners

ONE
integrated
team

Our Team



Mike Jones

Managing Director, Mourant Consulting

Prior to establishing Mourant Consulting, Mike was Director of Policy & Risk at the Jersey Financial Services Commission (JFSC). He has 20+ years' regulatory experience at the Jersey & Australian Financial Services regulators. At the JFSC, Mike regularly deputised as Director General and worked closely with the current DG & Chair and sat on the Executive Board as well as attending Board of Commissioner meetings. He also sat on the High-Risk Business Committee and Risk Committee and chaired and attended Enforcement Review Committees.

As CRO, Mike oversaw the building of the JFSC's new risk model and ERM framework; and was JFSC lead on the Island Joint Financial Crime Strategy Group as well as policy lead on all international, domestic, financial crime, risk and data matters, including leading on the JFSC policy response on key initiatives such as AIFMD and Fintech.



Amy Gurm

Director, Mourant Consulting

Amy has 15+ years' experience as a risk professional across financial services, public and other regulated sectors in the Channel Islands, the UK and Canada. Previously a Director at a Big 4 professional services firm, Amy has a background in risk and regulatory advisory services. Her experience includes the execution of multiple client risk reviews, regulatory training, development of regulatory frameworks, preparation for regulatory visits, internal audits for both out-sourced and co-sourced arrangements, and governance risk process and control reviews.

She has a depth of knowledge in AML, Risk Assessments and Board Effectiveness, both at a local and global scale.



Geoff Cook

Chair, Mourant Consulting

Geoff has an outstanding leadership and governance record in a wide range of significant business enterprises. His extensive international network includes C-Suite executives, government ministers and senior regulatory officials. He has a deep knowledge of the CDOTs International Finance Centres, and a comprehensive knowledge of corporate governance along with experience as a director of bank, private capital, fund, financial services and social enterprise boards.

Geoff has extensive experience of international regulation in either an approved capacity or through direct interaction on jurisdictional and policy matters with global intergovernmental organisations, regulators, commissions, financial institutions and monetary authorities worldwide.

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